



Contents lists available at ScienceDirect

Fisheries Research

journal homepage: www.elsevier.com/locate/fishres



Ecological risk assessment for the effects of fishing

A.J. Hobday^{a,*}, A.D.M. Smith^{a,1}, I.C. Stobutzki^{b,2}, C. Bulman^a, R. Daley^a, J.M. Dambacher^a, R.A. Deng^b, J. Dowdney^a, M. Fuller^a, D. Furlani^a, S.P. Griffiths^b, D. Johnson^c, R. Kenyon^b, I.A. Knuckey^d, S.D. Ling^{a,3}, R. Pitcher^b, K.J. Sainsbury^a, M. Sporcic^a, T. Smith^c, C. Turnbull^e, T.I. Walker^f, S.E. Wayte^a, H. Webb^a, A. Williams^a, B.S. Wise^g, S. Zhou^b

^a Wealth from Oceans Flagship, CSIRO Marine and Atmospheric Research, Castray Esplanade, Hobart, Australia

^b Wealth from Oceans Flagship, CSIRO Marine and Atmospheric Research, Middle St, Cleveland, Australia

^c Australian Fisheries Management Authority, Canberra, Australia

^d Fishwell Consulting, Victoria, Australia

^e Queensland Department of Primary Industries, Brisbane, Australia

^f Primary Industries Victoria, Australia

^g Department of Fisheries, Western Australia, Australia



EFAEF in Australian and beyond

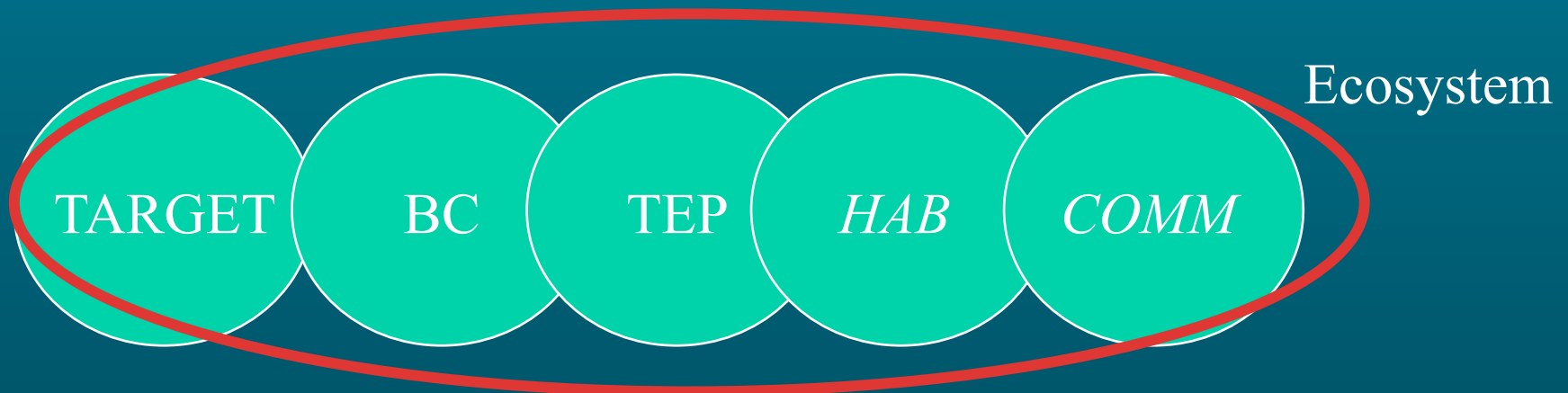
- Applied to more than 30 fisheries around continental Australia
- 4 Sub-Antarctic fisheries (supports MSC re-accreditation)
- MSC use SICA, PSA framework for all fisheries
- Data poor fisheries e.g. Galapagos Islands
- MSC pre-assessment reports e.g Pacific Dogfish

Scope of this talk

- Terminology
- Outline of approach
- Practical application of methods – examples
- Data Requirements

Terminology

- ERAEF Approach: ERA for Effects of Fishing
- Sub-fishery: defined by gear and area
- Activities: what the fishery does (fishing, anchoring)
- Units of analysis: species list (other)
- Components: groups affected (target, bycatch, other)
- Levels of analysis: 1 to 3 (increasing data needs)

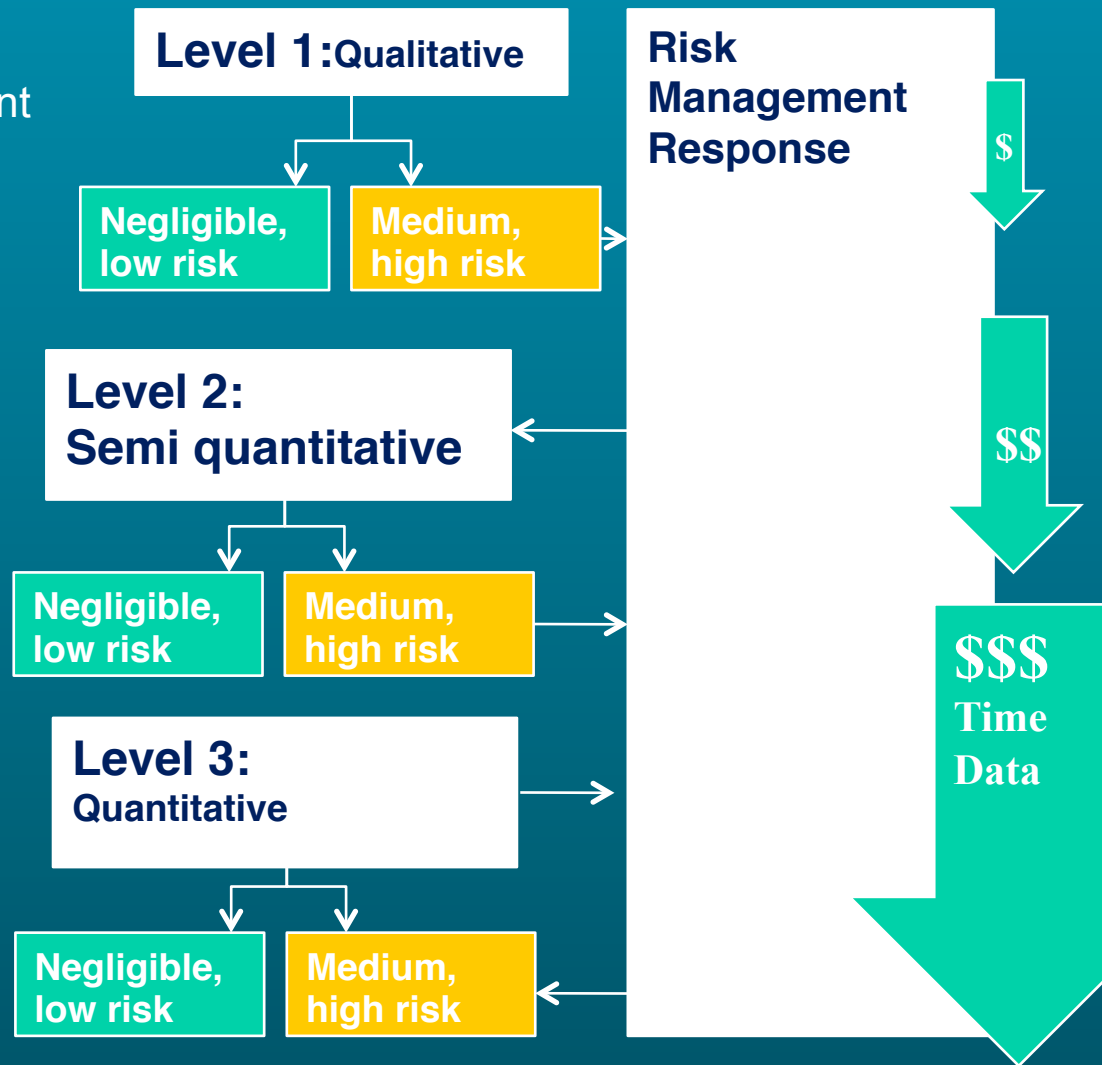


Need for an alternative approach

- Many species
- E.g. snapper sub-fishery, > 60 target species
- Traditional stock assessments?
- Requires time series data
- Expensive
- Takes years to establish trends

ERAEF – Screening

- Level 1:
 - Most vulnerable species - each component
 - May include other activities (not just capture fishing)
- Level 2:
 - Normally only capture fishing assessed
 - Components treated separately
 - Species list for each component
- Level 3: More detailed methods if required
- Management response at different levels
- Focuses resources where risks are higher



Scoping

- Scoping provides a foundation for all levels
- For each subfishery
- Summarise general fishery characteristics
- Identify activities: (e.g. fishing, anchoring)
- Define units of analysis: species list (other)
- Group units into components: target, bycatch, other
- Carefully define objectives

Carefully defined objectives

- Likely to differ between components
- Target component e.g. maintain population level
- Bycatch species e.g. reduce volume and diversity
- Protected species
 - e.g. avoid disruption to turtle breeding

Level 1 SICA

Target species example

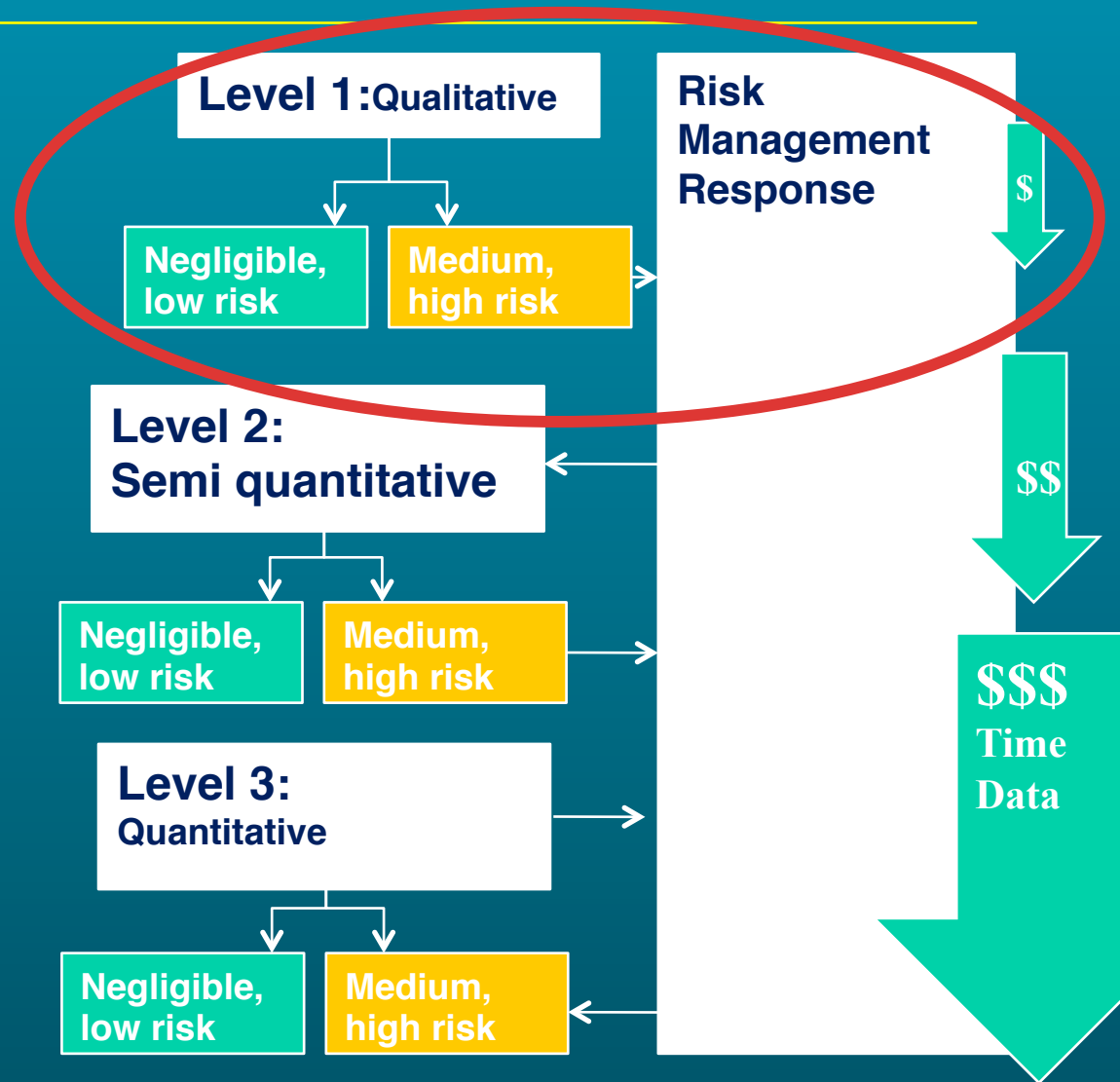
- Sub-fishery: Saint Cruix Trap
- Activity: Capture fishing
- Component: Saddle-tail snapper *Lutjanus malabaricus*
- Objective: Maintain biomass above 30% initial levels

Evaluate scenarios:

- Scale: Distribution and effort across bank
- Intensity: Multiple vessels fishing daily
- Consequence: Moderate (localised impact but potential to reduce stock size)

Level 1 – Screening

- Score results
- Repeat for each scenario
- Prepare summary table
- Screen out components, activities
- Look at examples



Level 2 PSA – Capture fishing

(Productivity Susceptibility Analysis)

- To date looks at capture fishing only
- Looks at each species (unit) within a component
- Assumes risk depends on two characteristics of the species
 - Productivity – ability to recover (intrinsic, biological)
 - Susceptibility – “exposure”, fishery dependent

Productivity attributes

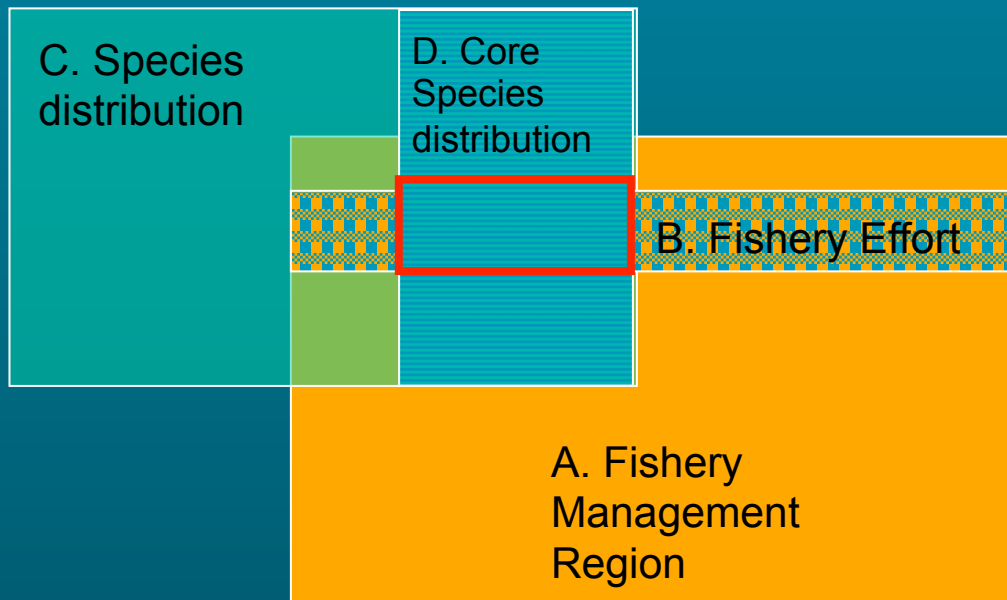
- Maximum age
 - Age at maturity
 - Size at maturity
 - Annual fecundity
 - Maximum size
 - Reproductive strategy
 - Trophic level
-
- Data sources: literature, PSA database, close relatives

Susceptibility attributes

- Availability: proportion of species range that overlaps with the fleet
- Encounterability: looks at overlap vertical dimension
- Selectivity: if a species encounters the gear can it escape?
- Post capture mortality: if a species is released will it live?
- Data sources: catch and effort data, database

Availability scoring

- Score the overlap of core species distribution (D) with core fishing effort (B) (red square)



If no effort/catch picture...

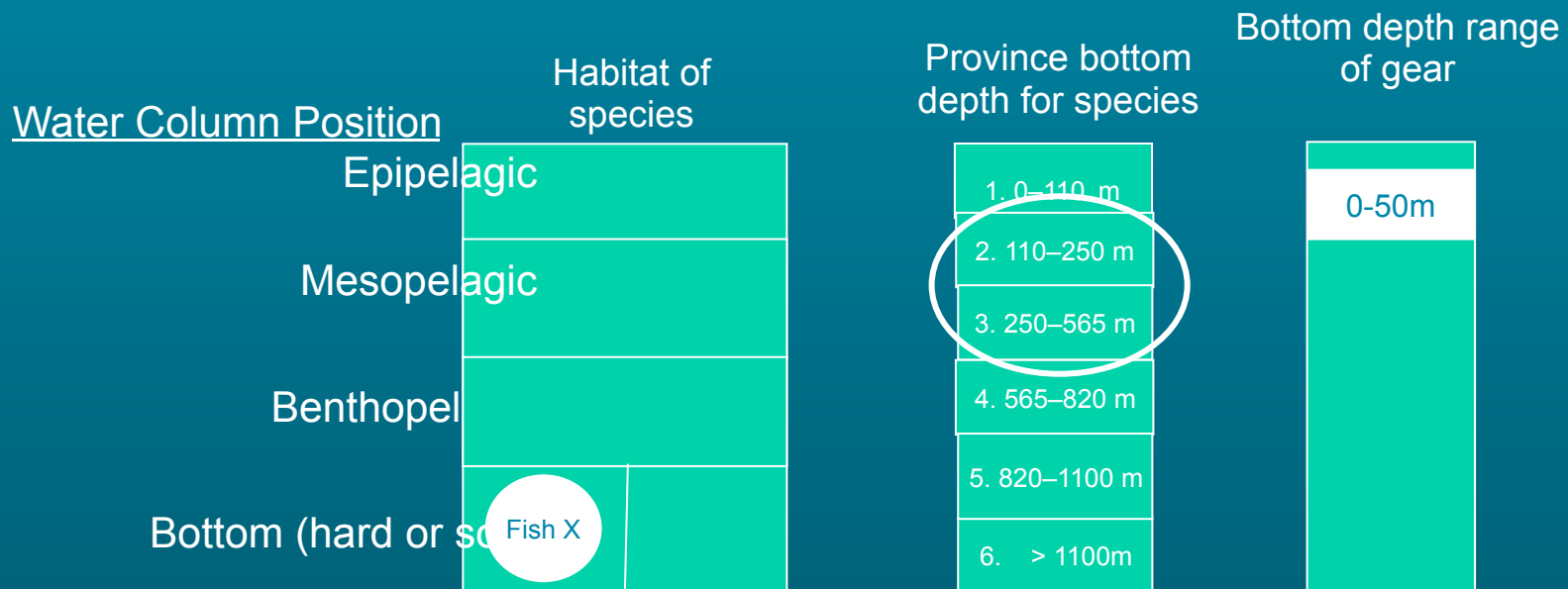
Use worldwide distribution

- Worldwide (low availability to fishery)
- Southern hemisphere (med availability to fishery)
- Endemic (highest availability to fishery)

- Often applied to pelagics e.g. tunas

But also consider likelihood of separate stocks

Encounterability Scoring



Scoring Example:

Risk: H

Bottom species living on soft ground
 Overlap with demersal gear on soft ground is High

Bathymetry
 check →

Risk: L

Depth range for the species is outside the depth range of the fishery: encounterability score is corrected to Low

Selectivity

Selectivity is a measure of the proportion of animals encountering the fishing gear that is captured.

Attributes related to selectivity :

Size

(Morphology)

(Swimming capability)

Scoring set by thresholds

e.g. mesh size 6 inches, species size at maturity 3 inches, risk low

Post-capture mortality

Post-capture mortality is a measure of the proportion of animals that die as a result of interaction with activity (e.g. caught in the fishing gear).

To date based on observer data

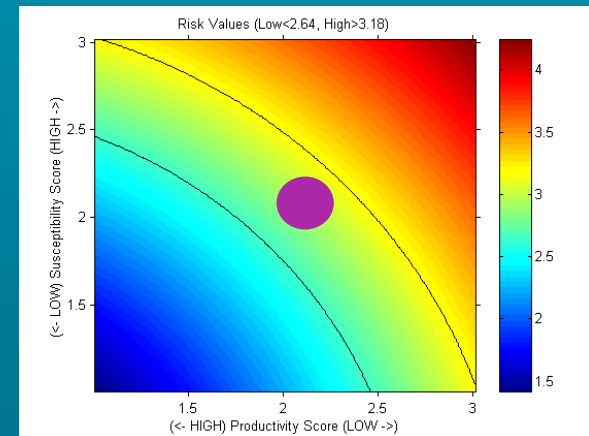
PSA data analysis

Catch susceptibility =
Availability x Encounterability x Selectivity
x Post-capture mortality

Productivity – additive, attributes are not
independent

A spreadsheet tool is used to tabulate the
data and automate the scoring

Stakeholders and other experts can work
through scoring at workshops



Level 2 – Screening

- Look at examples



ERAEF Methodological Highlights

- Hierarchical approach
- Precautionary in the absence of data
- Efficient at screening and prioritizing
- Allow comparisons (e.g. between fisheries)
- Framework fits with a range of level 3 methods
- Help fisheries be “strategic”

Acknowledgements

- Forum for inviting me
- Bob Trumble and Kimberly Gordon provided useful feedback during preparation of this presentation
- Scientists and stakeholders for their insights

Literature

- Smith, A. D. M., Fulton, E. J., Hobday, A. J., Smith, D. C., Shoulder, P. (2007). Scientific tools to support the practical implementation of ecosystem-based fisheries management. *ICES Journal of Marine Science*.

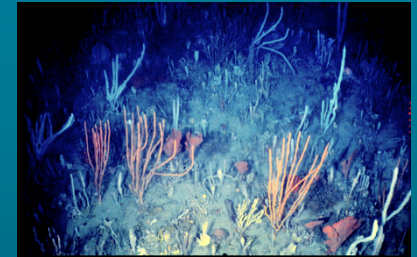
Extra slides

Availability corrections: Global distribution (Stock Structure Likelihood)

| Proxy for stock structure | | | |
|--|--|--|--|
| | Low risk (low chance of local stocks) | Medium risk (medium chance of local stocks) | High risk (high risk of local stocks) |
| 1. Geographic barriers to dispersal | 1L | 1M | 1H |
| | Deepsea, >650 m: <i>Semi-global water mass - Antarctic bottom water. Some depth barriers, too shallow.</i> | Pelagic and upper slope: Depth and water temperature barriers -mode water. | embayments on the shelf. Combination of lat, long, depth, coastal, water temperature barriers |
| 2. Temporal barriers to dispersal | 2L | 2M | 2H |
| | No seasonal peaks in feeding, mating, spawning. | Some seasonal peaks but breeding not restricted to a particular season. E.g. batch spawning teleosts, some dogfishes | Species forms breeding colonies or breeding aggregations. Fishing is permitted at or near breeding or feeding aggregations |
| 3. Ecological barriers to dispersal | 3L | 3M | 3H |
| | Occupiable habitat is dispersed through a species range. E.g. pelagics | | Occupiable habitat is restricted by food availability or bottom topography (reefs, canyons etc). Fishing occurs near restricted habitat |
| 4. Behavioral barriers to dispersal | 4L | 4M | 4H |
| | No behavior. E.g. algae | No social behaviour e.g. sunfish | to spawn. Birds remain near rookery to rear chicks. Migrating populations targeted by fishing activity |
| 5. Life history barriers to dispersal | | | 5H |
| | | | Species can not complete its life history. Most individuals at a particular life history stage are vulnerable to fishing or a fishing related activity |

PSA For habitats

- MSC – 3 components including “ecosystem”
- Possible application in Coral fishery in the Caribbean
- Alternative to assessment by species > 100 species harvested
- Define units by bottom type x geomorphology x invertebrate fauna
 - e.g. Rock x outcrop x octocoral



- Fewer units

- Alternative attributes

Attribute

Equivalent

Availability

depth range, overlap

Encouterability

Ruggedness, gear type

Selectivity

Removability, size class, seabed slope

Productivity

Regeneration time

Susceptibility - multiplicative

Catch susceptibility =

Availability x Encounterability x Selectivity x Post-capture mortality

If any one of the four susceptibility aspects is low, it makes sense that overall catch susceptibility should be low.

Examples :

However easy a species is to catch in a trawl, if it is not encountered by the trawl gear, then it will not be susceptible to capture.

If a species is readily encountered, but is too large to be caught in a trawl net, then it will not be susceptible.

Each type evaluated against 11 attributes of habitat vulnerability

| Aspect | Attribute | Concept | Rationale |
|------------------|---|--|--|
| Availability | General depth range (biome) | Spatial overlap of subfishery with habitat defined at biomic scale | Habitat occurs within the management area |
| Encounterability | Depth zone and feature type | Habitat encountered at the depth and location at which fishing activity occurs | Fishing takes place where habitat occurs |
| | Ruggedness (fractal dimension of substratum and seabed slope) | Relief, rugosity, hardness and seabed slope influence accessibility to different sub-fisheries | Rugged substratum is less accessible to mobile gears. Steeply sloping seabed is less accessible to mobile gears |
| | Level of disturbance | Gear footprint and intensity of encounters | Degree of impact is determined by the frequency and intensity of encounters (inc. size, weight and mobility of individual gears) |
| Selectivity | Removability/ mortality of fauna/ flora | Removal/ mortality of structure forming epifauna/ flora (inc. bioturbating infauna) | Erect, large, rugose, inflexible, delicate epifauna and flora, and large or delicate and shallow burrowing infauna (at depths impacted by mobile gears) are preferentially removed or damaged. |
| | Areal extent | How much of each habitat is present | Effective degree of impact greater in rarer habitats: rarer habitats may maintain rarer species. |
| | Removability of substratum | Certain size classes can be removed | Intermediate sized clasts (~6 cm to 3 m) that form attachment sites for sessile fauna can be permanently removed |
| | Substratum hardness | Composition of substrata | Harder substratum is intrinsically more resistant |
| | Seabed slope | Mobility of substrata once dislodged; generally higher levels of structural fauna | Gravity or latent energy transfer assists movement of habitat structures, eg turbidity flows, larger clasts. Greater density of filter feeding animals found where currents move up and down slopes. |
| Productivity | Regeneration of fauna | Accumulation/ recovery of fauna | Fauna have different intrinsic growth and reproductive rates which are also variable in different conditions of temperature, nutrients, productivity. |
| | Natural disturbance | Level of natural disturbance affects intrinsic ability to recover | Frequently disturbed communities adapted to recover from disturbance |